



Semi-automated quantification of pleural effusion index for enhanced clinical assessment

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Abstract

Pleural effusion, the abnormal accumulation of fluid in the pleural cavity, is a common clinical condition associated with various diseases, including heart failure, pneumonia, and malignancies. Accurate measurement of pleural effusion volume is critical for diagnosis, treatment planning, and monitoring response to therapy. Traditional manual methods for estimating pleural effusion volume from imaging modalities such as chest X-rays or ultrasound are time-consuming, subject to interobserver variability, and often lack precision. This study proposes a semi-automated approach to quantify the pleural effusion index (PEI), combining automated image processing techniques with expert input to improve accuracy, efficiency, and reproducibility.

The semi-automated method employs advanced image segmentation algorithms to delineate pleural fluid boundaries from radiographic images, minimizing manual intervention while allowing clinicians to validate and adjust results. Using a dataset of chest imaging studies from patients with varying degrees of pleural effusion, the algorithm was trained and tested to detect and measure effusion areas, which were then converted into standardized PEI values. The performance of the semi-automated system was evaluated against manual measurements performed by experienced radiologists, using metrics such as Dice similarity coefficient, correlation coefficients, and Bland-Altman analysis.

Results demonstrate that the semi-automated method significantly reduces measurement time by approximately 40%, while achieving a high level of agreement with manual measurements (Dice coefficient > 0.85 , correlation coefficient $r = 0.92$, $p < 0.001$). The approach also exhibited lower interobserver variability, supporting its potential to standardize pleural effusion quantification across different clinical settings. Furthermore, the system's ability to provide rapid, reliable measurements can enhance clinical workflows, aiding timely decision-making in critical care and pulmonology.

The semi-automated quantification of PEI offers a promising balance between automation and expert oversight, addressing the limitations of fully manual or fully automated methods. This hybrid model ensures both accuracy and flexibility, accommodating complex cases where fully automated systems may struggle due to image artifacts or atypical anatomy. Integration of this technique into routine clinical practice could improve patient management by enabling more precise assessment of pleural fluid dynamics over time.

In conclusion, this study presents a novel semi-automated framework for pleural effusion quantification that enhances measurement accuracy, reduces observer dependency, and streamlines clinical assessment. Future work will focus on expanding the system to include multimodal imaging data, refining algorithms for greater robustness, and conducting prospective clinical trials to evaluate its impact on patient outcomes.

Keywords: Pleural effusion, semi-automated measurement, pleural effusion index, image segmentation, clinical assessment, medical imaging, radiology, fluid quantification

Introduction

Clinical Significance of Pleural Effusion

Pleural effusion is a pathological condition characterized by the abnormal accumulation of fluid in the pleural space—the thin cavity between the visceral and parietal pleura surrounding the lungs. This condition is frequently encountered in clinical practice and is associated with a broad spectrum of underlying diseases, including congestive heart failure, infections such as pneumonia and tuberculosis, malignancies, pulmonary embolism, and systemic inflammatory diseases (Light, 2002). The presence and volume of pleural effusion can have profound implications for respiratory function, often leading to dyspnea, hypoxia, and compromised lung mechanics.

Accurate evaluation of pleural effusion is essential for diagnostic differentiation, guiding therapeutic interventions like thoracentesis or chest tube insertion, and monitoring treatment efficacy (Porcel & Light, 2006). The volume of pleural fluid often correlates with disease severity and prognosis; therefore, quantifying this fluid precisely aids clinical decision-making and patient management.

Current Methods of Pleural Effusion Measurement and Their Limitations

The assessment of pleural effusion traditionally relies on clinical examination combined with imaging techniques. Among these, chest radiography (CXR) remains the first-line diagnostic tool due to its wide availability, rapid acquisition, and low cost. However, CXR provides mainly qualitative or semi-quantitative information, often relying on visual cues such as blunting of the costophrenic angle or a meniscus sign to infer fluid presence. Quantitative methods, such as the pleural effusion index (PEI), attempt to provide standardized measurements by calculating ratios between the fluid height and lung dimensions on CXR images (Emtiazjoo *et al.*, 2019) ^[1]. Nevertheless, these manual methods are time-consuming and prone to significant interobserver variability, limiting their reproducibility and clinical reliability.

Ultrasound imaging has gained prominence for pleural fluid evaluation due to its high sensitivity and capability for bedside use, especially in critically ill patients. It allows for dynamic visualization of pleural fluid and can guide

therapeutic interventions safely. Ultrasound can estimate fluid volume using linear measurements or geometric approximations (Rahman *et al.*, 2010) [3]. Despite its advantages, ultrasound assessments require trained operators and remain subject to user dependency and variability, which can impact measurement consistency. Computed tomography (CT) provides detailed cross-sectional images, enabling accurate delineation and volumetric calculation of pleural fluid. CT volumetry is regarded as a gold standard for pleural fluid quantification due to its precision (Strickland *et al.*, 2017) [2]. However, CT scans are costly, expose patients to ionizing radiation, and are less accessible for frequent monitoring. Overall, the traditional modalities suffer from a trade-off between accuracy, accessibility, and operator dependence, creating a clinical need for methods that are both reliable and efficient.

Challenges in Manual Pleural Effusion Quantification

Manual measurement of pleural effusion volume presents multiple challenges. First, visual assessment and manual tracing on imaging are subjective processes influenced by operator experience, leading to variability in results (Hsiao *et al.*, 2015). The irregular shape of pleural fluid collections and their sometimes-patchy distribution further complicate accurate volume estimation, especially when relying on two-dimensional projections.

Additionally, manual methods are time-consuming, particularly when serial imaging is required for monitoring disease progression or treatment response. In busy clinical environments, delays in assessment may hinder timely decision-making, adversely affecting patient outcomes.

Advances in Automated and Semi-Automated Imaging Analysis

In recent years, advancements in computational imaging and machine learning have revolutionized medical image analysis, offering opportunities to improve pleural effusion quantification. Fully automated image segmentation techniques aim to delineate pleural fluid boundaries with minimal human intervention. These approaches leverage sophisticated algorithms such as convolutional neural networks (CNNs) to analyze imaging data from chest CT, X-ray, and ultrasound modalities (Cheng *et al.*, 2021).

However, fully automated systems often face challenges in clinical implementation due to variability in imaging quality, presence of artifacts, and anatomical complexity. These factors can cause segmentation errors, especially in atypical cases, necessitating clinician oversight.

Semi-automated methods present a promising middle ground by combining the efficiency of automated segmentation with expert validation and correction. This hybrid approach maintains high accuracy while reducing operator workload and variability. Semi-automation has demonstrated improved consistency and efficiency in other imaging domains, such as tumor delineation and cardiac volumetry (Zhou *et al.*, 2019).

Rationale for a Semi-Automated Pleural Effusion Index Measurement System

Given the clinical importance of pleural effusion quantification and the limitations of current methods, a semi-automated system designed to measure the pleural effusion index is warranted. The PEI provides a normalized measure that accounts for individual patient anatomy, enhancing comparability between studies and patients.

A semi-automated approach could leverage image processing algorithms to perform initial segmentation and measurement, with clinicians reviewing and refining outputs to ensure accuracy. This workflow promises to:

- Reduce interobserver variability by standardizing initial measurements.
- Save clinician time by automating routine tasks.
- Maintain accuracy and reliability through expert oversight.
- Adapt to diverse imaging modalities including CXR and ultrasound, increasing accessibility.

Such a system could streamline workflows in pulmonology, radiology, and critical care, facilitating faster and more reliable pleural effusion evaluation.

Objectives of This Study

This study aims to develop, implement, and validate a semi-automated system for the quantification of the pleural effusion index using clinical imaging. The specific objectives are:

1. To design an image processing pipeline capable of segmenting pleural fluid regions semi-automatically on chest imaging.
2. To integrate clinician interaction for validation and adjustment of automated results.
3. To evaluate the system's accuracy against manual expert measurements using statistical agreement analyses.
4. To assess efficiency gains by measuring time savings in comparison to manual methods.
5. To explore the potential clinical impact of the system through pilot usability studies.

Organization of the Paper

The remainder of the paper is organized as follows: The **Methods** section details data acquisition, image processing techniques, and evaluation protocols. The **Results** section presents the performance of the semi-automated system, including accuracy and efficiency metrics. The **Discussion** interprets findings, discusses clinical implications, and acknowledges study limitations. The paper concludes with a summary of contributions and suggestions for future work.

Methods

1. Study Design and Data Collection

This study employed a retrospective observational design to develop and validate a semi-automated method for quantifying the pleural effusion index (PEI) from clinical imaging data. Ethical approval was obtained from the institutional review board, and all patient data were anonymized prior to analysis to ensure confidentiality.

A total of 150 patients diagnosed with pleural effusion were retrospectively selected from the hospital's imaging database. Inclusion criteria comprised adult patients (>18 years) who underwent chest radiography and/or thoracic ultrasound for pleural effusion evaluation. Patients with prior thoracic surgery or conditions causing pleural space abnormalities other than effusion (e.g., pneumothorax) were excluded to minimize confounding.

Demographic data, clinical diagnoses, and imaging reports were collected alongside imaging data for comprehensive analysis.

2. Imaging Modalities and Data Preparation

2.1 Chest Radiography (CXR)

Standard posteroanterior (PA) and anteroposterior (AP) chest X-rays were retrieved in DICOM format. Images were acquired using digital radiography systems with typical parameters: 110–130 kVp, 1.5–3.0 mAs, and pixel resolutions of approximately 2000×2000 pixels. Images were anonymized and preprocessed to enhance contrast and reduce noise using histogram equalization and median filtering techniques.

2.2 Thoracic Ultrasound (US)

Where available, thoracic ultrasound images focusing on pleural effusion were also analyzed. Ultrasound scans were performed using portable machines equipped with convex (2–5 MHz) probes. Still images and cine loops depicting pleural fluid collections were extracted and converted to grayscale for processing.

3. Pleural Effusion Index (PEI) Definition

The PEI was defined as the ratio of the maximal vertical height of pleural fluid relative to the hemithorax height measured on imaging. For CXR, the effusion height was determined by the distance between the lung base and the highest fluid boundary, and the hemithorax height was measured from the lung apex to the diaphragm. This index provides a normalized measure to account for patient size variations.

4. Semi-Automated Measurement Framework

The core of the proposed method is a semi-automated pipeline combining automated image segmentation with clinician validation, designed to maximize accuracy while reducing operator workload.

4.1 Automated Image Segmentation

- **Algorithm Selection:** We employed a hybrid segmentation approach combining traditional image processing and machine learning techniques. Initial segmentation was performed using adaptive thresholding to identify pleural fluid regions based on pixel intensity differences.
- **Edge Detection:** Canny edge detection was applied to highlight fluid boundaries, followed by morphological operations (dilation and erosion) to refine segmentation masks and close gaps.
- **Region Growing:** Seed points were automatically placed within suspected fluid areas, and region growing algorithms expanded these areas based on intensity homogeneity criteria.
- **Machine Learning Refinement:** A convolutional neural network (CNN) trained on a subset of manually annotated images was incorporated to further refine fluid boundary delineation, improving segmentation in complex cases.

4.2 Clinician Interaction and Validation

After automated segmentation, the system generated overlay masks on original images, which were presented through an interactive graphical user interface (GUI). Clinicians could:

- Review segmentation accuracy.

- Adjust boundaries using manual tools such as brush or lasso.
- Confirm final segmentation.

This interactive process ensured that ambiguous cases or segmentation errors were corrected before PEI calculation.

5. Pleural Effusion Index Calculation

Following validation, the system computed the PEI by measuring the maximal vertical height of the segmented pleural fluid region and the corresponding hemithorax height. These distances were calculated in pixel units and converted to centimeters based on imaging metadata. The PEI was expressed as a percentage ratio:

$$\text{PEI} = \left(\frac{\text{Effusion Height}}{\text{Hemithorax Height}} \right) \times 100\%$$

$$\text{PEI} = (\text{Hemithorax Height} / \text{Effusion Height}) \times 100\%$$

6. Manual Measurement Protocol (Reference Standard)

For validation purposes, three experienced radiologists independently performed manual PEI measurements on all imaging datasets, blinded to each other's results and the semi-automated system outputs. They used digital calipers on PACS workstations to measure effusion and hemithorax heights on CXR and US images. The average of the three manual measurements served as the gold standard.

7. Performance Evaluation Metrics

The performance of the semi-automated method was assessed in terms of accuracy, reproducibility, and efficiency.

7.1 Accuracy

- **Dice Similarity Coefficient (DSC):** Used to quantify the spatial overlap between automated and manually segmented pleural fluid regions. DSC values range from 0 (no overlap) to 1 (perfect overlap).
- **Pearson Correlation Coefficient (r):** Assessed the linear correlation between semi-automated and manual PEI values.
- **Bland-Altman Analysis:** Evaluated agreement and bias between semi-automated and manual PEI measurements.

7.2 Reproducibility

- **Interobserver Variability:** Measured as the coefficient of variation (CV) among manual measurements by different radiologists.
- **Intraobserver Variability:** Assessed by repeated measurements on a subset of images performed by the same radiologists after a 2-week interval.

The semi-automated system's consistency was evaluated by repeated runs on identical data sets.

7.3 Efficiency

- Measurement time for both manual and semi-automated methods was recorded and compared.
- User satisfaction and system usability were assessed through questionnaires administered to participating radiologists.

8. Statistical Analysis

Statistical analyses were performed using SPSS v26.0 and Python (SciPy, NumPy). Continuous variables were summarized as means $\pm$ standard deviations. Correlation coefficients were interpreted using conventional thresholds (e.g., $r > 0.9$ indicating excellent correlation). A p -value < 0.05 was considered statistically significant.

Results

This section presents the performance evaluation of the semi-automated system for pleural effusion index (PEI) measurement. We report on segmentation accuracy, correlation with manual measurements, agreement analysis, reproducibility, time efficiency, and user feedback. All results are based on a dataset comprising 150 chest X-rays and 40 thoracic ultrasound scans from 150 patients.

1. Segmentation Accuracy

The initial image segmentation phase, which employed a combination of adaptive thresholding, region growing, and CNN-based refinement, produced high-quality pleural fluid masks in most cases.

▪ Dice Similarity Coefficient (DSC)

The mean DSC between semi-automated and manually segmented pleural effusion areas was 0.87 ± 0.04 for chest X-rays and 0.83 ± 0.06 for ultrasound images.

- DSC > 0.80 was achieved in 92% of CXR cases.
- In ultrasound cases, performance was slightly lower due to image artifacts, rib shadowing, and probe angle variability.

Visual Inspection

Radiologists rated the initial segmentations as “clinically acceptable” in 89% of cases on first pass. For the remaining 11%, minimal adjustment was needed using the GUI interface.

These results suggest that the segmentation algorithm effectively identified pleural effusion boundaries in most imaging cases with high spatial accuracy.

2. PEI Correlation with Manual Measurements

To evaluate the numerical accuracy of PEI measurements, the system’s outputs were compared to the average manual measurements made independently by three radiologists.

- **Pearson Correlation Coefficient (r)**
- For chest X-ray images, $r = 0.92$, $p < 0.001$
- For ultrasound images, $r = 0.88$, $p < 0.001$

These results indicate strong positive correlations between the semi-automated and manual PEI values across both modalities.

Mean PEI values

- Semi-automated (CXR): $29.5\% \pm 10.2\%$
- Manual (CXR): $30.1\% \pm 9.7\%$
- Semi-automated (US): $31.8\% \pm 12.4\%$
- Manual (US): $32.5\% \pm 11.8\%$

There was no statistically significant difference between semi-automated and manual PEI values ($p = 0.41$ for CXR; $p = 0.38$ for US), further supporting the method’s accuracy.

3. Agreement Analysis: Bland-Altman Plots

To assess agreement between methods and identify potential bias, Bland-Altman analyses were performed.

3.1 Chest X-ray (CXR)

- **Mean difference (bias):** -0.6%
- **Limits of agreement:** -5.8% to $+4.6\%$
- **95% of data points** fell within the limits of agreement.

3.2 Ultrasound (US)

- **Mean difference (bias):** -0.7%
- **Limits of agreement:** -7.1% to $+5.6\%$
- Slightly broader spread compared to CXR, attributed to greater variability in ultrasound image quality.

No significant systemic bias was found between semi-automated and manual PEI estimates, confirming that the system maintains high consistency.

4. Reproducibility and Observer Variability

4.1 Interobserver Variability (Manual)

- Coefficient of variation (CV) for PEI measurements among three radiologists:
- **CXR:** 9.2%
- **US:** 11.7%

This confirms moderate variability in manual interpretation, especially in ultrasound.

4.2 System Reproducibility (Semi-Automated)

- The semi-automated system produced consistent PEI values across repeated runs on the same image set (intra-system CV $< 1.5\%$).

4.3 Clinician Adjustments

- On average, radiologists made minor edits ($<10\%$ mask area change) to automated segmentations in 18% of cases.
- In only 4 cases (2.6%), substantial edits ($>25\%$ of mask) were needed, typically due to unusual fluid shapes or overlapping anatomical features (e.g., masses or consolidated lung).

This demonstrates the robustness of the semi-automated method and its adaptability to varied imaging presentations.

5. Time Efficiency

Measurement time was recorded for each case using both manual and semi-automated methods.

- **Manual PEI measurement:**
- Mean time per image (CXR): 3.9 ± 1.2 minutes
- Mean time per image (US): 4.2 ± 1.5 minutes
- Semi-automated PEI measurement:
- Initial segmentation: <30 seconds
- User validation and minor edits (if needed): 1.0 ± 0.4 minutes
- Total time per image: 1.3 ± 0.5 minutes

This represents an average time reduction of $\sim 65\%$, significantly improving workflow efficiency in clinical settings.

6. Subgroup Analysis

6.1 Effusion Size

The accuracy and agreement were analyzed based on effusion size:

- **Small effusions (PEI < 20%):** Slightly lower correlation ($r = 0.85$), likely due to segmentation challenges with faint or poorly demarcated fluid lines.
- **Moderate to large effusions (PEI $\geq$ 20%):** Stronger correlation ($r = 0.94$), with minimal need for user correction.

6.2 Image Quality

Images rated “low quality” (e.g., motion blur, poor exposure) showed a modest reduction in segmentation performance:

- **Mean DSC in low-quality images:** 0.78 ± 0.06
- **Mean DSC in high-quality images:** 0.89 ± 0.03

This emphasizes the importance of standardizing acquisition protocols to maximize tool performance.

7. User Feedback and Usability

Following the evaluation phase, a survey was administered to the three participating radiologists using a 5-point Likert scale (1 = strongly disagree, 5 = strongly agree) to assess usability and clinical utility.

- **Ease of Use:** 4.7 ± 0.5
- **Segmentation Accuracy:** 4.4 ± 0.6
- **Time Saving:** 4.8 ± 0.4
- **Confidence in Results:** 4.3 ± 0.7
- **Likelihood to Use in Practice:** 4.6 ± 0.5

Radiologists reported high satisfaction with the tool and indicated a strong preference for using it in routine practice, particularly in high-volume or urgent care settings.

8. Summary of Key Findings

Metric	Semi-Automated Result	Manual (Reference)
Dice Similarity (CXR)	0.87 ± 0.04	—
Pearson Correlation (CXR PEI)	0.92	—
Mean PEI (CXR)	$29.5\% \pm 10.2\%$	$30.1\% \pm 9.7\%$
Time per Measurement (CXR)	1.3 ± 0.5 min	3.9 ± 1.2 min
Interobserver CV (Manual CXR)	9.2%	—
Intra-System CV (Auto)	<1.5%	—

Conclusion

This study presents a semi-automated system for the quantification of the pleural effusion index (PEI), offering a reliable and efficient alternative to traditional manual measurement methods. By integrating automated image processing with clinician validation, the tool achieves high accuracy, reduces interobserver variability, and significantly improves workflow efficiency across both chest radiography and ultrasound modalities.

The system demonstrated strong correlation with manual expert measurements, minimal bias, and substantial time savings, making it well-suited for high-demand clinical environments. Radiologist feedback confirmed its usability and potential to streamline routine pleural effusion assessment.

While further validation in multi-center, prospective studies is needed, the findings indicate that semi-automated PEI measurement can support more consistent and objective evaluation of pleural effusion, ultimately enhancing clinical decision-making. The approach also lays the foundation for broader applications in thoracic imaging analysis.

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